

**BAKER BOTTS** LLP

THE WARNER  
1299 PENNSYLVANIA AVE., NW  
WASHINGTON, DC  
20004-2400  
202 639 7700  
FAX 202.639.7890

AUSTIN  
BAKU  
DALLAS  
HOUSTON  
LONDON  
NEW YORK  
RIYADH  
WASHINGTON

September 24, 2001

**UTILITY PATENT APPLICATION TRANSMITTAL**

Attorney Docket Number: TI-32068 (032350.B324)  
First Named Inventor: Kaoru Awaka et al.  
Title: 4-2 Compressor

TO: **BOX PATENT APPLICATION**  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached are the following for filing with the U.S. Patent and Trademark Office:

1. ☐ Fee Transmittal Form
2. ☒ Specification - Total Pages: 28 (Including Abstract)

CLAIMS AS FILED						
	Claims Filed	Basic Fee Claims	Extra	Rate		Amount
				Large Entity	Small Entity	
Total Claims	36	20	16	\$ 18.00	\$ 9.00	\$ 288.00
Independent Claims	7	3	4	\$ 80.00	\$ 40.00	\$ 320.00
First Presentation of Multiple Dependent Claims				\$ 270.00	\$ 135.00	\$ 0.00
<b>BASIC FEE</b>				\$ 710.00	\$ 355.00	\$ 710.00
<b>TOTAL FILING FEE</b>						\$ 1318.00

3. ☒ Drawings - Total Sheets: 10 (Fig(s). 1-11)
4. Oath or Declaration - Total Pages: 1
  - a. ☒ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with Box 17 completed)
  - i. ☐ DELETION OF INVENTOR(s):  
Signed statement attached deleting inventor(s) named in prior application.
5. ☐ Incorporation By Reference (useable if Box 4b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies
8. ☒ Assignment and Assignment Recordation Form
9. ☐ 37 C.F.R. 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement with PTO-1449 and References
- ☐ Copies of Information Disclosure Statement Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard
14. ☐ Small Entity Statement(s) ☐ Independent Inventor
- ☐ Small Business Concern
- ☐ Non-Profit Organization
- ☐ Statement Filed in Prior Application; Status Still Proper and Desired
15. ☐ Foreign Priority is Claimed as Follows:
- \_\_\_\_\_
- \_\_\_\_\_
- ☐ If Foreign Priority is Claimed, Certified Copy of the Above Priority Document(s) is Submitted Herewith
16. ☒ Other: Application Data Sheet
17. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part of
- Prior Application No.: \_\_\_\_\_ filed \_\_\_\_\_
- ☐ Complete Application Based on Provisional Application No.: 60/269,309
- filed February 16, 2001

18. ☒ A new power of attorney or authorization of agent (PTO/SB/81) is as follows:

☒ The power of attorney is to: **23494**

19. Please address all correspondence to:

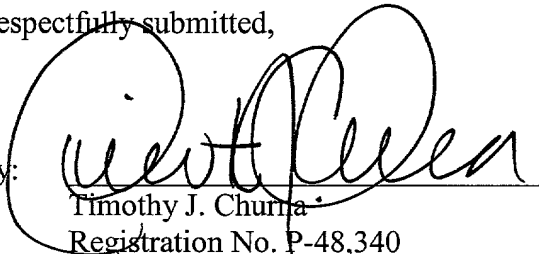
**23494**

20. ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed. In the event any variance exists between the amount enclosed and the Patent Office charges, please charge or credit any such variance to Deposit Account No. 02-0375.

☒ The Commissioner is hereby authorized to charge the filing fee to **Deposit Account No. 20-0668.**

Respectfully submitted,

By:

  
Timothy J. Chun  
Registration No. P-48,340  
for Robert Troike  
Registration No. 24,183

Enclosures

09/24/01  
J1003 U.S. PTO

09960348 092401

PTO/SB/35 (11-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Kaoru Awaka et al.
Title	4-2 Compressor
Atty Docket Number	TI-32068 (032350.B324)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9/24/01  
Date

  
Signature

Timothy J. Churna

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231